



# Society and Inequality in Eurasia/North Africa

600 B.C.E.—600 C.E.



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“Caste has no impact on life today,” declared Chezi K. Ganesan in 2010.<sup>1</sup> Certainly, Mr. Ganesan’s low-caste background as a Nadar, ranking just above the “untouchables,” has had little impact on the career of this prosperous high-tech businessman, who shuttles between California’s Silicon Valley and the city of Chennai in southern India. Yet his grandfather could not enter Hindu temples, and until the mid-nineteenth century, the women of his caste, as a sign of their low status, were forbidden to cover their breasts in the presence of Brahmin men. But if caste has proven no barrier to Mr. Ganesan, it remains significant for many others in contemporary India. Personal ads for those seeking a marriage partner in many online services often indicate an individual’s caste as well as other personal data. Affirmative action programs benefiting low-caste Indians have provoked great controversy and resentment among some upper-caste groups. The brutal murder of an entire Dalit, or “untouchable,” family in 2006 sparked much soul-searching in the Indian media. So while caste has changed in modern India, it has also persisted. Both the changes and the persistence have a long history.

The most recent 250 years of world history have called into question social patterns long assumed to be natural and permanent. The French, Russian, and Chinese revolutions challenged and destroyed ancient monarchies and class hierarchies; the abolitionist movement of the nineteenth century attacked slavery, largely unquestioned for millennia; the women’s movement has confronted long and deeply held patriarchal assumptions about the proper

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**Mother and Child** Mothers and their children have been at the core of social life everywhere and a prominent theme of many artistic traditions. This lovely statue comes from the Sunga dynasty, which flourished in northeastern India from about 185 to 73 B.C.E., after the collapse of the Mauryan Empire.

relationship between the sexes; and Mahatma Gandhi, during India's struggle for independence in the twentieth century, sought to raise the status of "untouchables," referring to them as Harijan, or "children of God." Nevertheless, caste, class, patriarchy, and even slavery have certainly not vanished from human society, even now. During the era of second-wave civilizations in Eurasia, these patterns of inequality found expressions and generated social tensions that endured well beyond that era.

As Chapter 3 pointed out, millions of individual men and women inhabiting the civilizations of Eurasia and North Africa lived within a political framework of states or empires. They also occupied a world of ideas, religions, and values that derived both from local folkways and from the teaching of the great religious or cultural traditions of these civilizations, as described in Chapter 4. In this chapter, we explore the social arrangements of these civilizations—relationships between rich and poor, powerful and powerless, slaves and free people, and men and women. Those relationships shaped the daily lives and the life chances of everyone and provided the foundation for political authority as well as challenges to it.

Like the First Civilizations, those of the second-wave era were sharply divided along class lines, and they too were patriarchal, with women clearly subordinated to men in most domains of life. In constructing their societies, however, these second-wave civilizations differed substantially from one another. Chinese, Indian, and Mediterranean civilizations provide numerous illustrations of the many and varied ways in which these peoples organized their social lives. The assumptions, tensions, and conflicts accompanying these social patterns provided much of the distinctive character and texture that distinguished these diverse civilizations from one another.

### SEEKING THE MAIN POINT

To what extent were the massive inequalities of second-wave civilizations generally accepted, and in what ways were they resisted or challenged?

## Society and the State in China

Chinese society was unique in the ancient world in the extent to which it was shaped by the actions of the state. Nowhere was this more apparent than in the political power and immense social prestige of Chinese state officials, all of them male. For more than 2,000 years, these officials, bureaucrats acting in the name of the emperor both in the capital and in the provinces, represented the cultural and social elite of Chinese civilization. This class had its origins in the efforts of early Chinese rulers to find administrators loyal to the central state rather than to their own families or regions. Philosophers such as Confucius had long advocated selecting such officials on the basis of merit and personal morality rather than birth or wealth. As the Han dynasty established its authority in China around 200 B.C.E., its rulers required each province to send men of promise to the capital, where they were examined and chosen for official positions on the basis of their performance.



## A MAP OF TIME

470–400 B.C.E.	Life of Aspasia in Athens
124 B.C.E.	Imperial academy for training Chinese officials established
1st century B.C.E.	Poetry of Buddhist nuns set to writing
73 B.C.E.	Spartacus slave rebellion in Italy
1–200 C.E.	Laws of Manu prescribing proper social behavior in India
Early 1st century C.E.	Reforming emperor Wang Mang in power in China
45–116 C.E.	Life of Ban Zhao in China
79 C.E.	Eruption of Mount Vesuvius destroys Pompeii
184 C.E.	Yellow Turban Rebellion in China
After 221 C.E.	Loosening of restrictions on elite Chinese women as Han dynasty collapsed
After 500 C.E.	Slavery replaced by serfdom in Roman world
690–705 C.E.	Empress Wu reigned in China

### *An Elite of Officials*

Over time, this system of selecting administrators evolved into the world's first professional civil service. In 124 B.C.E., Emperor Wu Di established an imperial academy where potential officials were trained as scholars and immersed in texts dealing with history, literature, art, and mathematics, with an emphasis on Confucian teachings. By the end of the Han dynasty, it enrolled some 30,000 students, who were by then subjected to a series of written examinations to select officials of various grades. Private schools in the provinces funneled still more aspiring candidates into this examination system, which persisted until the early twentieth century. In theory open to all men, this system in practice favored those whose families were wealthy enough to provide the years of education required to pass even the lower-level exams. Proximity to the capital and family connections to the imperial court also helped in gaining a position in this highest of Chinese elites. Nonetheless, village communities or a local landowner might sponsor the education of a bright young man from a commoner family, enabling him to enter the charmed circle of officialdom. One rags-to-riches story told of a pig farmer who became an adviser to the emperor himself. Thus the examination system provided a modest measure of social mobility in an otherwise quite hierarchical society.

### Guided Reading Question

#### DESCRIPTION

How would you characterize the social hierarchy of China during the second-wave era?

#### AP<sup>®</sup> EXAM TIP

The roles of Confucian scholars, which lasted into the twentieth century, represent a major continuity in Chinese history.

In later dynasties, that system grew even more elaborate and became an enduring and distinguishing feature of Chinese civilization. During the Tang dynasty, the famous poet and official Po Chu-I (772–846 C.E.) wrote a poem titled “After Passing the Examination,” which shows something of the fame and fortune that awaited an accomplished student as well as the continuing loyalty to family and home that ideally marked those who succeeded:

For ten years I never left my books,  
I went up . . . and won unmerited praise.  
My high place I do not much prize;  
The joy of my parents will first make me proud.  
Fellow students, six or seven men,  
See me off as I leave the City gate.  
My covered coach is ready to drive away;  
Flutes and strings blend their parting tune.  
Hopes achieved dull the pains of parting;  
Fumes of wine shorten the long road. . . .  
Shod with wings is the horse of him who rides  
On a Spring day the road that leads to home.<sup>2</sup>

Those who made it into the bureaucracy entered a realm of high privilege and great prestige. Senior officials moved about in carriages and were bedecked with robes, ribbons, seals, and headdresses appropriate to their rank. Even lower officials who served in the provinces rather than the capital were distinguished by their polished speech, their cultural sophistication, and their urban manners as well as their political authority. Proud of their learning, they were the bearers, and often the makers, of Chinese culture. “Officials are the leaders of the populace,” stated an imperial edict of 144 B.C.E., “and it is right and proper that the carriages they ride in and the robes that they wear should correspond to the degrees of their dignity.”<sup>3</sup> Some of these men, particularly in times of political turmoil, experienced tension between their official duties and their personal inclination toward a more withdrawn life of reflective scholarship. (See *Zooming In*: Ge Hong, page 196.)

### *The Landlord Class*

Most officials came from wealthy families, and in China wealth meant land. When the Qin dynasty unified China by 210 B.C.E., most land was held by small-scale peasant farmers. But by the first century B.C.E., the pressures of population growth, taxation, and indebtedness had generated a class of large landowners as impoverished peasants found it necessary to sell their lands to more prosperous neighbors. This accumulation of land in sizable estates was a persistent theme in Chinese history and one that was frequently, though not very successfully, opposed by state authorities. Landlords of such large estates were often able to avoid paying taxes, thus decreasing state revenues and increasing the tax burden for the remaining peasants. In

#### AP® EXAM TIP

Be sure you understand social hierarchy and its roles throughout China’s history, as well as in all major civilizations.

some cases, they could also mount their own military forces that might challenge the authority of the emperor.

One of the most dramatic state efforts to counteract the growing power of large landowners is associated with Wang Mang, a high court official of the Han dynasty who usurped the emperor's throne in 8 C.E. and immediately launched a series of startling reforms. A firm believer in Confucian good government, Wang Mang saw his reforms as re-creating a golden age of long ago in which small-scale peasant farmers represented the backbone of Chinese society. Accordingly, he ordered the great private estates to be nationalized and divided up among the landless. Government loans to peasant families, limits on the amount of land a family might own, and an end to private slavery were all part of his reform program, but these measures proved impossible to enforce. Opposition from wealthy landowners, nomadic invasions, poor harvests, floods, and famines led to the collapse of Wang Mang's reforms and his assassination in 23 C.E.

Large landowning families, therefore, remained a central feature of Chinese society, although the fate of individual families rose and fell as the wheel of fortune raised them to great prominence or plunged them into poverty and disgrace. As a class, they benefited both from the wealth that their estates generated and from the power and prestige that accompanied their education and their membership in the official elite. The term "scholar-gentry" reflected their twin sources of privilege. With homes in both urban and rural areas, members of the scholar-gentry class lived luxuriously. Multi-storied houses, the finest of silk clothing, gleaming carriages, private orchestras, high-stakes gambling—all of this was part of the life of China's scholar-gentry class.

## Peasants

Throughout the long course of China's civilization, the vast majority of its population consisted of peasants, living in small households representing two or three generations. Some owned enough land to support their families and perhaps even sell something on the local market. Many others could barely survive. Nature, the state, and landlords combined to make the life of most peasants extremely vulnerable. Famines, floods, droughts, hail, and pests could wreak havoc without warning. State authorities required the payment of taxes, demanded about a month's labor every year on various public projects, and conscripted young men for military service. During the Han dynasty, growing numbers of impoverished and desperate peasants had to sell

### Chinese Peasants

For many centuries, the normal activities of Chinese peasant farmers included plowing, planting, and threshing grain, as shown in this painting from China's Song dynasty (960–1279 C.E.). (Mogao Caves, Dunhuang, Gansu Province, China/ Bridgeman Images)



## Ge Hong, a Chinese Scholar in Troubled Times

Had Ge Hong lived at a different time, he might have pursued the life of a Confucian scholar and civil servant, for he was born to a well-established aristocratic family in southern China. But when he entered the world in 283 C.E., the times were clearly out of joint. The Han dynasty, which had given China four centuries of relative peace and prosperity, had fragmented into a number of competing states. Nomadic peoples invaded and ruled the northern parts of the country. Coups and rebellions were frequent. Many northern Chinese fled south to escape the chaos. The life of Ge Hong illustrates how these larger historical circumstances shaped the life of a single individual.<sup>4</sup>

Ge Hong's family directly experienced the disorder when the family library was destroyed during the repeated wars of the time. Furthermore, the family patriarch, Ge Hong's father, died when the young boy was only thirteen years old, an event that brought hardship and a degree of impoverishment to the family. Ge Hong reported later in his autobiography that he had to "walk long distances to borrow books" and that he cut and



A solitary scholar in China, such as Ge Hong sought to become.

sold firewood to buy paper and brushes. Nonetheless, like other young men of his class, he received a solid education, reading the classic texts of Confucianism along with history and philosophy.

At about the age of fourteen or fifteen, Ge Hong began to study with the aged Daoist master Zheng Yin. He had to sweep the floor and perform other menial chores for the master while gaining access to rare and precious texts of the esoteric and alchemical arts aimed at creating the "gold

elixir" that could promote longevity and transcendence. Withdrawal into an interior life, reflected in both Daoist and Buddhist thought, had a growing appeal to the elite classes of China in response to the political disturbances and disorder of public life. It was the beginning of a life-long quest for Ge Hong.

And yet the Confucian emphasis on office holding, public service, and moral behavior in society persisted,

photo: *Scholar Walking with a Staff in a Landscape*, Qing Dynasty [ink and color on silk], Chinese School/Saint Louis Art Museum, Saint Louis, Missouri, USA/Bridgeman Images

### Guided Reading Question

#### ■ CHANGE

What class conflicts disrupted Chinese society?

#### AP<sup>®</sup> EXAM TIP

Throughout history, most people lived at the bottom of society, like these peasants in China.

out to large landlords and work as tenants or sharecroppers on their estates, where rents could run as high as one-half to two-thirds of the crop. Other peasants fled, taking to a life of begging or joining gangs of bandits in remote areas.

An eighth-century C.E. Chinese poem by Li Shen reflects poignantly on the enduring hardships of peasant life:

The cob of corn in springtime sown  
In autumn yields a hundredfold.  
No fields are seen that fallow lie:  
And yet of hunger peasants die.



sending Ge Hong into a series of military positions. Here too was a reflection of the disordered times of his life, for in more settled circumstances, young elite men would have disdained military service, favoring a career in the civil bureaucracy. Thus in 303, at the age of twenty, he organized and led a small group of soldiers to crush a local rebellion, later reporting that he alone among military leaders prevented his troops from looting the valuables of the enemy. For his service, he received the title of “wave conquering general” and 100 bolts of cloth. “I was the only one,” he wrote, “to distribute it among my officers, soldiers, and needy friends.” At several other points in his life as well, Ge Hong accepted official appointments, some of them honorary and others more substantive.

But his heart lay elsewhere, as he yearned for a more solitary and interior life. “Honor, high posts, power, and profit are like sojourning guests,” he wrote in his memoir. “They are not worth all the regret and blame, worry and anxiety they cause.” Thus he spent long periods of his life in relative seclusion, refusing a variety of official positions. “Unless I abandon worldly affairs,” he asked, “how can I practice the tranquil Way?” To Ge Hong, withdrawal was primarily for the purpose of seeking immortality, “to live as long as heaven and earth,” a quest given added urgency no doubt by the chaotic world of his own time. In his writings, he explored various techniques for enhancing *qi*, the vital energy that sustains all life, including breathing exercises, calisthenics, sexual

practices, diet, and herbs. But it was the search for alchemically generated elixirs, especially those containing liquid gold and cinnabar (derived from mercury), that proved most compelling to Ge Hong. Much to his regret, he lacked the resources to obtain and process these rare ingredients.

However, Ge Hong did not totally abandon the Confucian tradition and its search for social order, for he argued in his writings that the moral virtues advocated by China’s ancient sage were a necessary prerequisite for attaining immortality. And he found a place in his philosophy also for the rewards and punishments of Legalist thinking. Thus he sought to reconcile the three major strands of Chinese thought—Confucianism, Daoism, and Legalism—even as he acknowledged that his practices were “always ill-suited to the times.”

Ge Hong spent the last years of his life on the sacred mountain of Luofu in the far south of China, continuing his immortality research until he died in 343 at the age of sixty. When his body was lifted into its coffin, contemporaries reported that it was “exceedingly light as if one were lifting empty clothing.”<sup>5</sup> They concluded from this that Ge Hong had in fact achieved transcendence, joining the immortals in everlasting life, as he had so fervently hoped.

Question: In what ways did the larger conditions of China shape the life of Ge Hong?

As at noontide they hoe their crops,  
Sweat on the grain to earth down drops.  
How many tears, how many a groan,  
Each morsel on thy dish did mould!<sup>6</sup>

Such conditions provoked periodic peasant rebellions, which have punctuated Chinese history for over 2,000 years. Toward the end of the second century C.E., wandering bands of peasants began to join together as floods along the Yellow River and resulting epidemics compounded the misery of landlessness and poverty. What emerged was a massive peasant uprising known as the Yellow Turban Rebellion

#### AP® EXAM TIP

Be prepared to give examples of peasant rebellions and their effects on politics and society throughout world history.





Yellow Turban Rebellion

because of the yellow scarves the peasants wore around their heads. That movement, which swelled to about 360,000 armed followers by 184 C.E., found leaders, organization, and a unifying ideology in a popular form of Daoism. Featuring supernatural healings, collective trances, and public confessions of sin, the Yellow Turban movement looked forward to the “Great Peace”—a golden age of equality, social harmony, and common ownership of property. Although the rebellion was suppressed by the military forces of the Han dynasty, the Yellow Turban and other peasant upheavals devastated the economy, weakened the state, and contributed to the overthrow of the dynasty a few decades later. Repeatedly in Chinese history, such peasant movements, often expressed in religious terms, registered the sharp class antagonisms of Chinese society and led to the collapse of more than one ruling dynasty.

## Merchants

### AP® EXAM TIP

Be aware that China stood out among classical civilizations in its low view of the merchant class.

Peasants were oppressed in China and certainly exploited, but they were also honored and celebrated in the official ideology of the state. In the eyes of the scholar-gentry, peasants were the solid productive backbone of the country, and their hard work and endurance in the face of difficulties were worthy of praise. Merchants, however, did not enjoy such a favorable reputation in the eyes of China’s cultural elite. They were widely viewed as unproductive, making a shameful profit from selling the work of others. Stereotyped as greedy, luxury loving, and materialistic, merchants stood in contrast to the presumed frugality, altruism, and cultured tastes of the scholar-gentry. They were also seen as a social threat, as their ill-gained wealth impoverished others, deprived the state of needed revenues, and fostered resentments.

Such views lay behind periodic efforts by state authorities to rein in merchant activity and to keep them under control. Early in the Han dynasty, merchants were forbidden to wear silk clothing, ride horses, or carry arms. Nor were they permitted to sit for civil service examinations or hold public office. State monopolies on profitable industries such as salt, iron, and alcohol limited merchant opportunities. Later dynasties sometimes forced merchants to loan large sums of money to the state. Despite this active discrimination, merchants frequently became quite wealthy. Some tried to achieve a more respectable elite status by purchasing landed estates or educating their sons for the civil service examinations. Many had backdoor relationships with state officials and landlords who found them useful and were not averse to profiting from business connections with merchants, despite their unsavory reputation.

### AP® EXAM TIP

You should know that India’s caste system represented a continuity from the classical era into the twenty-first century.

## Class and Caste in India

India’s social organization shared certain broad features with that of China. In both civilizations, birth determined social status for most people; little social mobility was available for the vast majority; sharp distinctions and great inequalities characterized

social life; and religious or cultural traditions defined these inequalities as natural, eternal, and ordained by the gods. Despite these similarities, the organization, flavor, and texture of ancient Indian society were distinctive compared to almost all other civilizations. These unique aspects of Indian society have long been embodied in what we now call the caste system, a term that comes from the Portuguese word *casta*, which means “race” or “purity of blood.” That social organization emerged over thousands of years and in some respects has endured into modern times.

## Caste as Varna

The origins of the caste system are at best hazy. An earlier idea—that caste evolved from a racially defined encounter between light-skinned Aryan invaders and the darker-hued native peoples—has been challenged in recent years, but no clear alternative theory has emerged. Perhaps the best we can say at this point is that the distinctive social system of India grew out of the interactions among South Asia’s immensely varied cultures together with the development of economic and social differences among these peoples as the inequalities of “civilization” spread throughout the Ganges River valley and beyond. Notions of race, however, seem less central to the growth of the caste system than those of economic specialization and of culture.

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### Guided Reading Question

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#### DESCRIPTION

What set of ideas underlies India’s caste-based society?



### Caste in India

This 1947 photograph from *Life* magazine illustrates the “purity and pollution” thinking that has long been central to the ideology of caste. It shows a high-caste landowner carefully dropping wages wrapped in a leaf into the outstretched hands of his low-caste workers. By avoiding direct physical contact with them, he escapes the ritual pollution that would otherwise ensue. (Margaret Bourke-White/ The LIFE Picture Collection/Getty Images)

Whatever the precise origins of the caste system, by around 500 B.C.E., the idea that society was forever divided into four ranked classes, or *varnas*, was deeply embedded in Indian thinking. Everyone was born into and remained within one of these classes for life. At the top of this hierarchical system were the Brahmins, priests whose rituals and sacrifices alone could ensure the proper functioning of the world. They were followed by the Kshatriya class, warriors and rulers charged with protecting and governing society. Next was the Vaisya class, originally commoners who cultivated the land. These three classes came to be regarded as pure Aryans and were called the “twice-born,” for they experienced not only a physical birth but also formal initiation into their respective varnas and status as people of prestigious Aryan descent. Far below these twice-born in the hierarchy of varna groups were the Sudras, native peoples incorporated into the margins of Aryan society in very subordinate positions. Regarded as servants of their social betters, they were not allowed to hear or repeat the Vedas or to take part in Aryan rituals. So little were they valued that a Brahmin who killed a Sudra was penalized as if he had killed a cat or a dog.

According to varna theory, these four classes were formed from the body of the god Purusha and were therefore eternal and changeless. Although these divisions are widely recognized in India even today, historians have noted considerable social flux in ancient Indian history. Members of the Brahmin and Kshatriya groups, for example, were frequently in conflict over which ranked highest in the varna hierarchy, and only slowly did the Brahmins emerge clearly in the top position. Although theoretically purely Aryan, both groups absorbed various tribal peoples as Indian civilization expanded. Tribal medicine men or sorcerers found a place as Brahmins, while warrior groups entered the Kshatriya varna. The Vaisya varna, originally defined as cultivators, evolved into a business class with a prominent place for merchants, while the Sudra varna became the domain of peasant farmers. Finally a whole new category, ranking lower even than the Sudras, emerged in the so-called untouchables, men and women who did the work considered most unclean and polluting, such as cremating corpses, dealing with the skins of dead animals, and serving as executioners. (See Snapshot, opposite.)

#### AP® EXAM TIP

It is important to note that all major religions had connections with politics and social hierarchies.

#### Guided Reading Question

##### ■ COMPARISON

What is the difference between varna and jati as expressions of caste?

### *Caste as Jati*

As the varna system took shape in India, another set of social distinctions also arose, based largely on occupations. In India as elsewhere, urban-based civilization gave rise to specialized occupations, many organized in guilds that regulated their own affairs in a particular region. Over time, these occupationally based groups, known as *jatis*, blended with the varna system to create India’s unique caste-based society.

The many thousands of *jatis* became the primary cell of India’s social life beyond the family or household, but each of them was associated with one of the great classes (*varnas*). Thus Brahmins were divided into many separate *jatis*, or subcastes,

## SNAPSHOT Social Life and Duty in Classical India

Much personal behavior in classical India, at least ideally, was regulated according to caste. Each caste was associated with a particular color, with a part of the body of the god Purusha, and with a set of duties.

Caste (Varna)	Color/Symbolism	Part of Purusha	Duties
Brahmin	white/spirituality	head	priests, teachers
Kshatriya	red/courage	shoulders	warriors, rulers
Vaisya	yellow/wealth	thighs	farmers, merchants, artisans
Sudra	black/ignorance	feet	labor
Untouchables (outside of the varna system; thus no color and not associated with Purusha)	—	—	polluted labor

Beyond caste, behavior was ideally defined in terms of four stages of life, at least for the first three varna groups. Each new stage was marked by a *samskara*, a ritual initiating the person into this new phase of life.

Stage of Life	Duties
Student	Boys live with a teacher (guru); learn Sanskrit, rituals, Vedas; practice obedience, respect, celibacy, nonviolence.
Householder	Marriage and family; men practice caste-based career/occupation; women serve as wives and mothers, perform household rituals and sacrifices, actively support children and elders.
Retirement	Both husband and wife withdraw to the forests following birth of grandchildren; diminished household duties; greater focus on spiritual practice; sex permitted once a month.
Wandering ascetic	Only for men (women return to household); total rejection of ordinary existence; life as wandering hermit without shelter or possessions; caste becomes irrelevant; focus on achieving moksha and avoiding future rebirth.

as were each of the other varnas as well as the untouchables. In a particular region or village, each jati was ranked in a hierarchy known to all, from the highest of the Brahmins to the lowest of the untouchables. Marriage and eating together were permitted only within an individual's own jati. Each jati was associated with a particular set of duties, rules, and obligations, which defined its members' unique and



separate place in the larger society. Brahmins, for example, were forbidden to eat meat, while Kshatriyas were permitted to do so. Upper-caste women covered their breasts, while some lower-caste women were forbidden this privilege as a sign of their subordination. “It is better to do one’s own duty badly than another’s well”—this frequently quoted saying summed up the underlying idea of Indian society. With its many separate, distinct, and hierarchically ranked social groups, Indian society was quite different from that of China or the Greco-Roman world. It was also unique in the set of ideas that explained and justified that social system. Foremost among them was the notion of ritual purity and pollution applied to caste groups. Brahmins or other high-caste people who came in contact with members of lower castes, especially those who cleaned latrines, handled corpses, or butchered and skinned dead animals, were in great danger of being polluted, or made ritually unclean. Thus untouchables were forbidden to use the same wells or to enter the temples designated for higher-caste people. Sometimes they were required to wear a wooden clapper to warn others of their approach. A great body of Indian religious writing defined various forms of impurity and the ritual means of purification.

**AP® EXAM TIP**

Be prepared to compare different methods of social control across place and time, like in China and India.

A further support for this idea of inherent inequality and permanent difference derived from emerging Hindu notions of *karma*, *dharma*, and rebirth. Being born into a particular caste was generally regarded as reflecting the good or bad deeds (*karma*) of a previous life. Thus an individual’s prior actions were responsible for his or her current status. Any hope for rebirth in a higher caste rested on the faithful and selfless performance of one’s present caste duties (*dharma*) in this life. Doing so contributed to spiritual progress by subduing the relentless demands of the ego. Such teachings, like that of permanent impurity, provided powerful sanctions for the inequalities of Indian society. So too did the threat of social ostracism, because each *jati* had the authority to expel members who violated its rules. No greater catastrophe could befall a person than this, for it meant the end of any recognized social life and the loss of all social support.

As caste restrictions tightened, it became increasingly difficult—virtually impossible—for individuals to raise their social status during their lifetimes. However, another kind of upward mobility enabled entire *jatis*, over several generations, to raise their standing in the local hierarchy of caste groups. By acquiring land or wealth, by adopting the behaviors of higher-caste groups, by finding some previously overlooked “ancestor” of a higher caste, a particular *jati* might slowly be redefined in a higher category. India’s caste system was in practice rather more fluid and changing than the theory of caste might suggest.

India’s social system thus differed from that of China in several ways. It gave priority to religious status and ritual purity (the Brahmins), whereas China elevated political officials to the highest of elite positions. The caste system divided Indian society into vast numbers of distinct social groups; China had fewer, but broader, categories of society—scholar-gentry, landlords, peasants, merchants. Finally, India’s caste society defined these social groups far more rigidly than in China and provided even less opportunity for social mobility.

## *The Functions of Caste*

This caste-based social structure shaped India's emerging civilization in various ways. Because caste (*jati*) was a very local phenomenon, rooted in particular regions or villages, it focused the loyalties of most people on a quite restricted territory and weakened the appeal or authority of larger all-Indian states. This localization is one reason that India, unlike China, seldom experienced an empire that encompassed the entire subcontinent (see Chapter 3, pages 131–35). Caste, together with the shared culture of a diverse Hinduism, provided a substitute for the state as an integrative mechanism for Indian civilization. It offered a distinct and socially recognized place for almost everyone. In looking after widows, orphans, and the destitute, *jatis* provided a modest measure of social security and support. Even the lowest-ranking *jatis* had the right to certain payments from the social superiors whom they served.

Furthermore, caste represented a means of accommodating the many migrating or invading peoples who entered the subcontinent. The cellular, or honeycomb, structure of caste society allowed various peoples, cultures, and traditions to find a place within a larger Indian civilization while retaining something of their unique identity. The process of assimilation was quite different in China, where it meant becoming Chinese ethnically, linguistically, and culturally. Finally, India's caste system facilitated the exploitation of the poor by the wealthy and powerful. The multitude of separate groups into which it divided the impoverished and oppressed majority of the population made class consciousness and organized resistance across caste lines much more difficult to achieve.

### PRACTICING AP® HISTORICAL THINKING

How did India's caste system differ from China's class system?

## Slavery: The Case of the Roman Empire

Beyond the inequalities of class and caste lay those of slavery, a social institution with deep roots in human history, extending into the Paleolithic era of gathering and hunting peoples. Some have suggested that the early domestication of animals provided a model for enslaving people. Certainly, slave owners have everywhere compared their slaves to tamed animals. Aristotle, for example, observed that the ox is “the poor man's slave.” War, patriarchy, and the notion of private property, all of which accompanied the First Civilizations, also contributed to the growth of slavery. Large-scale warfare generated numerous prisoners, and everywhere in the ancient world capture in war meant the possibility of enslavement. Early records suggest that women captives were the first slaves, usually raped and then enslaved as concubines, whereas male captives were killed. Patriarchal societies, in which men sharply controlled and perhaps even “owned” women, may have suggested the possibility of using other people, men as well as women, as slaves. The class inequalities of early civilizations, which were based on great differences in privately owned property, also made it possible to imagine people owning other people.

### AP® EXAM TIP

Know the theories about the origins of slavery.

**AP® EXAM TIP**

Be sure you know the similarities and differences in coercive labor systems, such as slavery, from the classical era into the twentieth century.

**Guided Reading Question****COMPARISON**

How did the inequalities of slavery differ from those of caste?

## *Slavery and Civilization*

Whatever its precise origins, slavery generally meant ownership by a master, the possibility of being sold, working without pay, and the status of an “outsider” at the bottom of the social hierarchy. For most, it was a kind of “social death,”<sup>7</sup> for slaves usually lacked any rights or independent personal identity recognized by the larger society. By the time Hammurabi’s law code casually referred to Mesopotamian slavery (around 1750 B.C.E.), it was already a long-established tradition in the region and in all the First Civilizations. Likewise, virtually all subsequent civilizations—in the Americas, Africa, and Eurasia—practiced some form of slavery.

Slave systems throughout history have varied considerably. In some times and places, such as ancient Greece and Rome, a fair number of slaves might be emancipated in their own lifetimes, through the generosity or religious convictions of their owners, or to avoid caring for them in old age, or by allowing slaves to purchase their freedom with their own funds. In some societies, the children of slaves inherited the status of their parents, while in others, such as the Aztec Empire, they were considered free people. Slaves likewise varied considerably in the labor they were required to do, with some working for the state in high positions, others performing domestic duties in their owner’s household, and still others toiling in fields or mines in large work gangs.

The second-wave civilizations of Eurasia differed considerably in the prominence and extent of slavery in their societies. In China, it was a minor element, amounting to perhaps 1 percent of the population. Convicted criminals and their families, confiscated by the government and sometimes sold to wealthy private individuals, were among the earliest slaves in Han dynasty China. In desperate circumstances, impoverished or indebted peasants might sell their children into slavery. In southern China, teenage boys of poor families could be purchased by the wealthy, for whom they served as status symbols. Chinese slavery, however, was never very widespread and did not become a major source of labor for agriculture or manufacturing.

In India as well, people could fall into slavery as criminals, debtors, or prisoners of war and served their masters largely in domestic settings, but religious writings and secular law offered, at least in theory, some protection for slaves. Owners were required to provide adequately for their slaves and were forbidden to abandon them in old age. According to one ancient text, “A man may go short himself or stint his wife and children, but never his slave who does his dirty work for him.”<sup>8</sup> Slaves in India could inherit and own property and earn money in their spare time. A master who raped a slave woman was required to set her free and pay compensation. The law encouraged owners to free their slaves and allowed slaves to buy their freedom. All of this suggests that Indian slavery was more restrained than that of other ancient civilizations. Nor did Indian civilization depend economically on slavery, for most work was performed by lower-caste, though free, men and women.

## The Making of Roman Slavery

In sharp contrast to other second-wave civilizations, slavery played an immense role in the Mediterranean, or Western, world. Although slavery was practiced in Chinese, Indian, and Persian civilizations, in the Greco-Roman world society was based on slavery. By a conservative estimate, classical Athens alone was home to perhaps 60,000 slaves, or about one-third of the total population. In Athens, ironically, the growth of democracy and citizenship was defined and accompanied by the simultaneous growth of slavery on a mass scale. The greatest of the Greek philosophers, Aristotle, developed the notion that some people were “slaves by nature” and should be enslaved for their own good and for that of the larger society.

“The ancient Greek attitude toward slavery was simple,” writes one modern scholar. “It was a terrible thing to become a slave, but a good thing to own a slave.”<sup>9</sup> Even poor households usually had at least one or two female slaves, providing domestic work and sexual services for their owners. Although substantial numbers of Greek slaves were granted freedom by their owners, they usually did not become citizens or gain political rights. Nor could they own land or marry citizens, and particularly in Athens they had to pay a special tax. Their status remained “halfway between slavery and freedom.”<sup>10</sup>

Practiced on an even larger scale, slavery was a defining element of Roman society. By the time of Christ, the Italian heartland of the Roman Empire had some 2 to 3 million slaves, representing 33 to 40 percent of the population.<sup>11</sup> Not until the modern slave societies of the Caribbean, Brazil, and the southern United States was slavery practiced again on such an enormous scale. Wealthy Romans could own many hundreds or even thousands of slaves. One woman in the fifth century C.E. freed 8,000 slaves when she withdrew into a life of Christian monastic practice. Even people of modest means frequently owned two or three slaves. In doing so, they confirmed their own position as free people, demonstrated their social status, and expressed their ability to exercise power. Slaves and former slaves also might be slave owners. One freedman during the reign of Augustus owned 4,116 slaves at the time of his death. (See Working with Evidence, page 220, for more on Roman society viewed through the lens of Pompeii.)

### Guided Reading Question

#### ■ COMPARISON

How did Greco-Roman slavery differ from that of other classical civilizations?



#### Roman Slavery

This Roman mosaic from the third century C.E. shows the slave Myro serving a drink to his master, Fructus. (Bardo Museum, Tunis, Tunisia/Gianni Dagli Orti/The Art Archive at Art Resource, NY)



The vast majority of Roman slaves had been prisoners captured in the many wars that accompanied the creation of the empire. In 146 B.C.E., following the destruction of the North African city of Carthage, some 55,000 people were enslaved en masse. From all over the Mediterranean basin, men and women were funneled into the major slave-owning regions of Italy and Sicily. Pirates also furnished slaves, kidnapping tens of thousands and selling them to Roman slave traders on the island of Delos. Roman merchants purchased still other slaves through networks of long-distance commerce extending to the Black Sea, the East African coast, and northwestern Europe. The supply of slaves also occurred through natural reproduction, as the children of slave mothers were regarded as slaves themselves. Such “home-born” slaves had a certain prestige and were thought to be less troublesome than those who had known freedom earlier in their lives. Finally, abandoned or exposed children could legally become the slave of anyone who rescued them.

Unlike American slavery of later times, Roman practice was not identified with a particular racial or ethnic group. Egyptians, Syrians, Jews, Greeks, Gauls, North Africans, and many other people found themselves alike enslaved. From within the empire and its adjacent regions, an enormous diversity of people were bought and sold at Roman slave markets.

Like slave owners everywhere, Romans regarded their slaves as “barbarians”—lazy, unreliable, immoral, prone to thieving—and came to think of certain peoples, such as Asiatic Greeks, Syrians, and Jews, as slaves by nature. Nor was there any serious criticism of slavery in principle, although on occasion owners were urged to treat their slaves in a more benevolent way. Even the triumph of Christianity within the Roman Empire did little to undermine slavery, for Christian teaching held that slaves should be “submissive to [their] masters with all fear, not only to the good and gentle, but also to the harsh.”<sup>12</sup> In fact, the New Testament used the metaphor of slavery to describe the relationship of believers to God, styling them as “slaves of Christ,” while Saint Augustine (354–430 C.E.) described slavery as God’s punishment for sin. Thus slavery was deeply embedded in the religious thinking and social outlook of elite Romans.

Similarly, slavery was entrenched throughout the Roman economy. No occupation was off-limits to slaves except military service, and no distinction existed between jobs for slaves and those for free people. Frequently they labored side by side. In rural areas, slaves provided much of the labor force on the huge estates, or *latifundia*, which produced grain, olive oil, and wine, mostly for export, much like the later plantations in the Americas. There they often worked chained together. In the cities, slaves worked in their owners’ households, but also as skilled artisans, teachers, doctors, business agents, entertainers, and actors. In the empire’s many mines and quarries, slaves and criminals labored under brutal conditions. Slaves in the service of the emperor provided manpower for the state bureaucracy, maintained temples and shrines, and kept Rome’s water supply system functioning.

Trained in special schools, they also served as gladiators in the violent spectacles of Roman public life. Female slaves usually served as domestic servants but were also put to work in brothels, served as actresses and entertainers, and could be used sexually by their male owners. Thus slaves were represented among the highest and most prestigious occupations and in the lowest and most degraded.

Slave owners in the Roman Empire were supposed to provide the necessities of life to their slaves. When this occurred, slaves may have had a more secure life than was available to impoverished free people, who had to fend for themselves, but the price of this security was absolute subjection to the will of the master. Beatings, sexual abuse, and sale to another owner were constant possibilities. Lacking all rights in the law, slaves could not legally marry, although many contracted unofficial unions. Slaves often accumulated money or possessions, but such property legally belonged to their masters and could be seized at any time. If a slave murdered his master, Roman law demanded the lives of all of the victim's slaves. When one Roman official was killed by a slave in 61 C.E., every one of his 400 slaves was condemned to death. For an individual slave, the quality of life depended almost entirely on the character of the master. Brutal owners made life a living hell. Benevolent owners made life tolerable and might even grant favored slaves their freedom or permit them to buy that freedom. As in Greece, manumission of slaves was a widespread practice, and in the Roman Empire, unlike in Greece, freedom was accompanied by citizenship.

Roman slaves, like their counterparts in other societies, responded to enslavement in many ways. Most, no doubt, did what was necessary to survive, but there are recorded cases of Roman prisoners of war who chose to commit mass suicide rather than face the horrors of slavery. Others, once enslaved, resorted to the “weapons of the weak”—small-scale theft, sabotage, pretending illness, working poorly, and placing curses on their masters. Fleeing to the anonymous crowds of the city or to remote rural areas prompted owners to post notices in public places, asking for information about their runaways. Catching runaway slaves became an organized private business. Occasional murders of slave owners made masters conscious of the dangers they faced. “Every slave we own is an enemy we harbor” ran one Roman saying.<sup>13</sup>

On several notable occasions, the slaves themselves rose in rebellion. The most famous uprising occurred in 73 B.C.E. when a slave gladiator named Spartacus led seventy other slaves from a school for gladiators in a desperate bid for freedom that mushroomed into a huge uprising. (See *Zooming In: The Spartacus Slave Revolt*, page 208, for a more detailed account.) Nothing on the scale of Spartacus's rebellion occurred again in the Western world of slavery until the Haitian Revolution of the 1790s. But Haitian rebels sought the creation of a new society free of slavery altogether. None of the Roman slave rebellions, including that of Spartacus, had any such overall plan or goal. The rebels simply wanted to escape

#### AP® EXAM TIP

Know examples of slave rebellions and their effects.



The Rebellion of Spartacus

## The Spartacus Slave Revolt

Despite the prominence of slavery in ancient civilizations, large-scale slave revolts were rare. One of the most impressive began in the Roman Empire during the summer of 73 B.C.E. when around seventy slaves escaped from a gladiator school at Capua, about 125 miles south of Rome. Grabbing knives and skewers from the kitchen on their way out, this small band of men, who had been trained to fight to the death for the entertainment of spectators, established a stronghold on nearby Mount Vesuvius and chose as their leader Spartacus, described by the historian Sallust as “a man of immense bodily strength and spirit.”<sup>14</sup> The defeat of Roman forces sent to seize them encouraged other slaves and some free men to join the rebellion. Their numbers eventually swelled to as many as 120,000 men, women, and children at the height of the uprising, reflecting the large number of slaves employed on the great estates of southern Italy.

Roman authorities became increasingly concerned when Spartacus and his followers began to manufacture



The death of Spartacus, 71 B.C.E.

their own weapons and to organize into a more coherent military force, which defeated in turn several hurriedly assembled Roman armies and plundered the rich farming region of Campania. The fortunes of the rebels peaked in 72 B.C.E. when they briefly threatened Rome itself. Both sides treated their opponents brutally. The Greek historian Appian reported that Spartacus ordered the sacrifice of 300 Roman prisoners in honor of the slain rebel leader Crixus, while another historian claimed that Spartacus staged gladiatorial games using 400 captives. When the revolt was finally suppressed, captured rebel slaves received similarly brutal treatment from the Roman authorities.

Fearful for the safety of the capital, the Roman authorities acted decisively. They appointed Marcus Licinius Crassus, a rich and ambitious Roman aristocrat

photo: Late 19th-century wood engraving, colored later/The Granger Collection, NYC—All rights reserved

Roman slavery. Although rebellions created a perpetual fear in the minds of slave owners, slavery itself was hardly affected.

## Comparing Patriarchies

Social inequality was embedded not only in the structures of class, caste, and slavery, but also in the gender systems of second-wave civilizations, as the patriarchies of the First Civilizations (see Chapter 2, pages 72–75) were replicated and elaborated in those that followed. Until quite recently, women’s subordination in all civilizations has been so widespread and pervasive that historians have been slow to recognize that gender systems had a history, changing over time. New agricultural technologies, the rise or decline of powerful states, the incorporation of world religions, interaction with culturally different peoples—all of these developments and

### AP® EXAM TIP

Note the continuities and changes over time in patriarchy in different civilizations.

and military commander, to lead eight Roman legions, totaling perhaps 40,000 troops, against Spartacus and his rebels. Crassus cornered the slave army in the far south of Italy, and Spartacus soon realized that his followers faced a fight to the death. According to the historian Appian, he advertised their precarious situation to his troops by crucifying a Roman soldier “as a visual reminder to his own men of what would happen to them if they did not win.”<sup>15</sup> Rather than be captured, Spartacus and the slaves with him chose to make a last stand. The Greek historian Plutarch reports that Spartacus killed his horse in front of his troops to show that he had no intention of fleeing, and led his forces in a final desperate engagement with Crassus’s army. Even the most hostile of later historians recounted that Spartacus died bravely during the battle, even if some claimed that his body was never found. For those that survived, a terrible vengeance followed as some 6,000 captured rebel slaves were nailed to crosses along the Appian Way, between Capua, where the rebellion began, and Rome. These crucified prisoners, spaced some thirty-five to forty yards apart for over a hundred miles, provided a clear warning to all others who contemplated rebellion.

Spartacus’s revolt had little lasting effect on the society in which he lived. No further large-scale revolts took

place in the Roman Empire, and Rome continued its economic system based on slave labor. Moreover, the rebellion occasioned little historical comment until the eighteenth century. However, over the past two and a half centuries, a number of groups have embraced Spartacus, casting him as an inspirational leader of the downtrodden who resisted injustice and subjugation. Marxists, socialists, and nationalists have all found something to admire in Spartacus and his rebellion. In the United States, Spartacus entered the popular imagination due in no small part to the success of the 1960 epic film *Spartacus*, starring Kirk Douglas as the rebel leader fighting against the odds to free the oppressed. While there is no historical evidence that Spartacus ever intended to abolish slavery or fundamentally reform Roman society, in modern times his heroic, if ultimately failed, quest for freedom has been recovered from the past, becoming for many an inspirational symbol of just resistance to oppression. In such ways, the past continues to resonate in the present.

Questions: What can Spartacus’s revolt tell us about the nature of the slave system in the Roman Empire? How might you account for the renewed interest in Spartacus over the past two and a half centuries?

more generated significant change in understandings of what was appropriate masculine and feminine behavior. Most often, patriarchies were lighter and less restrictive for women in the early years of a civilization’s development and during times of upheaval, when established patterns of male dominance were disrupted.

Furthermore, women were often active agents in the histories of their societies, even while largely accepting their overall subordination. As the central figures in family life, they served as repositories and transmitters of their peoples’ culture. Some were able to occupy unorthodox and occasionally prominent positions outside the home as scholars, religious functionaries, managers of property and participants in commerce, and even as rulers or military leaders. In Britain, Egypt, and Vietnam, for example, women led efforts to resist their countries’ incorporation into the Roman or Chinese empires. (See *Zooming In: Trung Trac*, page 124, and the statue of Boudica, page 120.) Both Buddhist and Christian nuns carved out

#### AP® EXAM TIP

Be prepared to offer examples of how women functioned in patriarchal societies.



small domains of relative freedom from male control. But these changes or challenges to male dominance occurred within a patriarchal framework, and nowhere did they evolve out of or beyond that framework. Thus a kind of “patriarchal equilibrium” ensured the long-term persistence of women’s subordination despite fluctuations and notwithstanding various efforts to redefine gender roles or push against gendered expectations.<sup>16</sup>

Nor was patriarchy everywhere the same. Restrictions on women were far sharper in urban-based civilizations than in those pastoral or agricultural societies that lay beyond the reach of cities and empires. The degree and expression of patriarchy also varied from one civilization to another, as the discussion of Mesopotamia and Egypt in Chapter 2 illustrated. And within particular civilizations, elite women both enjoyed privileges and suffered the restrictions of seclusion in the home to a much greater extent than their lower-class counterparts whose economic circumstances required them to operate in the larger social arena. China provides a fascinating example of how patriarchy changed over time, while the contrasting patriarchies of Athens and Sparta illustrate clear variations even within the much smaller world of Greek civilization.

#### AP® EXAM TIP

Generally speaking, patriarchy became stronger in China as each dynasty’s government strengthened. Knowledge of this pattern may help you on the AP® exam.

### *A Changing Patriarchy: The Case of China*

As Chinese civilization took shape during the Han dynasty, elite thinking about gender issues became more explicitly patriarchal, more clearly defined, and linked to an emerging Confucian ideology. Long-established patterns of thinking in terms of pairs of opposites were now described in gendered and unequal terms. The superior principle of *yang* was viewed as masculine and related to Heaven, rulers, strength, rationality, and light, whereas *yin*, the lower feminine principle, was associated with the earth, subjects, weakness, emotion, and darkness. Thus female inferiority was seen as permanent and embedded in the workings of the universe.

What this view meant more practically was spelled out repeatedly over the centuries in various Confucian texts. Two notions in particular summarized the ideal position of women, at least in the eyes of elite male writers. The adage “Men go out, women stay in” emphasized the public and political roles of men in contrast to the domestic and private domain of women. A second idea, known as the “three obediences,” emphasized a woman’s subordination first to her father, then to her husband, and finally to her son. “Why is it,” asked one text, “that according to the rites the man takes his wife, whereas the woman leaves her house [to join her husband’s family]? It is because the *yin* is lowly, and should not have the initiative; it proceeds to the *yang* in order to be completed.”<sup>17</sup>

The Chinese woman writer and court official Ban Zhao (45–116 C.E.) observed that the ancients had practiced three customs when a baby girl was born. She was placed below the bed to show that she was “lowly and weak,” required always to “humble herself before others.” Then she was given a piece of broken pottery to play with, signifying that “her primary duty [was] to be industrious.” Finally, her

#### Guided Reading Question

##### CHANGE

In what ways did the expression of Chinese patriarchy change over time, and why did it change?



### Chinese Women Musicians

This tenth-century rendering by the painter Gu Hongzhong shows these upper-class women serving as musicians for a high official of a Tang dynasty emperor. It was titled *The Night Revels of Han Xizai*. The painter was apparently sent by the emperor to spy on the suspicious behavior of the minister, who in various tellings was suspected of either rebellion or undignified activity. (Beijing Palace Museum, Imperial Palace [Forbidden City], Beijing, China/Werner Forman/Art Resource, NY)

birth was announced to the ancestors with an offering to indicate that she was responsible for “the continuation of [ancestor] worship in the home.”<sup>18</sup>

Yet such notions of passivity, inferiority, and subordination were not the whole story of women’s lives in ancient China. A few women, particularly the wives, concubines, or widows of emperors, were able on occasion to exercise considerable political authority. Several others led peasant rebellions. In doing so, they provoked much antifemale hostility on the part of male officials, who understood governance as a masculine task and often blamed the collapse of a dynasty or natural disasters on the “unnatural” and “disruptive” influence of women in political affairs. Others, however, praised women of virtue as wise counselors to their fathers, husbands, and rulers and depicted them positively as active agents.

Within her husband’s family, a young woman was clearly subordinate as a wife and daughter-in-law, but as a mother of sons, she was accorded considerable honor for her role in producing the next generation of male heirs to carry on her husband’s lineage. When her sons married, she was able to exercise the significant authority of a mother-in-law. Furthermore, a woman, at least in the upper classes, often brought with her a considerable dowry, which was regarded as her own property and gave her some leverage within her marriage. Women’s roles in the production of textiles, often used to pay taxes or to sell commercially, made a woman’s



#### Chinese Women at Work

For a long time, the spinning and weaving of cloth were part of women's domestic work in China. In this detail from a Ming dynasty vase, women are shown weaving silk. (Detail from a ceramic vase, Chinese School, Ming Dynasty [1368–1644]/Goleston Palace, Tehran, Iran/Giraudon/Bridgeman Images)

#### AP® EXAM TIP

Note that expectations for women's roles in society changed over time, as seen in these examples.

#### AP® EXAM TIP

Take notes on the factors that led to periods of decline of patriarchy.

labor quite valuable to the family economy. And a man's wife was sharply distinguished from his concubines, for she was legally mother to all her husband's children. Furthermore, peasant women could hardly follow the Confucian ideal of seclusion in the home, as their labor was required in the fields. Thus women's lives were more complex and varied than the prescriptions of Confucian orthodoxy might suggest.

Much changed in China following the collapse of the Han dynasty in the third century C.E. Centralized government vanished amid much political fragmentation and conflict. Confucianism, the main ideology of Han China, was discredited, while Daoism and Buddhism attracted a growing following. Pastoral and nomadic peoples invaded northern China and ruled a number of the small states that had replaced the Han government. These new conditions resulted in some loosening of the strict patriarchy of Han dynasty China over the next five or six centuries.

The cultural influence of nomadic peoples, whose women were far less restricted than those of China, was noticed, and criticized, by more Confucian-minded male observers. One of them lamented the sad deterioration of gender roles under the influence of nomadic peoples:

In the north of the Yellow river it is usually the wife who runs the household. She will not dispense with good clothing or expensive jewelry. The husband has to settle for old horses and sickly servants. The traditional niceties between husband and wife are seldom observed, and from time to time he even has to put up with her insults.<sup>19</sup>

Others criticized the adoption of nomadic styles of dress, makeup, and music. By the time of the Tang dynasty (618–907), writers and artists depicted elite women as capable of handling legal and business affairs on their own and on occasion riding horses and playing polo, bareheaded and wearing men's clothing. Tang legal codes even recognized a married daughter's right to inherit property from her family of birth. Such images of women were quite different from those of Han dynasty China.

A further sign of a weakening patriarchy and the cause of great distress to advocates of Confucian orthodoxy lay in the unusual reign of Empress Wu (r. 690–705 C.E.), a former high-ranking concubine in the imperial court, who came to power

amid much palace intrigue and was the only woman ever to rule China with the title of emperor. With the support of China's growing Buddhist establishment, Empress Wu governed despotically, but she also consolidated China's civil service examination system for the selection of public officials and actively patronized scholarship and the arts. Some of her actions seem deliberately designed to elevate the position of women. She commissioned the biographies of famous women, decreed that the mourning period for mothers be made equal to that for fathers, and ordered the creation of a Chinese character for "human being" that suggested the process of birth flowing from one woman without a prominent male role. Her reign was brief and unrepeatable.

The growing popularity of Daoism provided new images of the feminine and new roles for women. Daoist texts referred to the *dao* as "mother" and urged the traditionally feminine virtues of yielding and passive acceptance rather than the male-oriented striving of Confucianism. Daoist sects often featured women as priests, nuns, or reclusive meditators, able to receive cosmic truth and to use it for the benefit of others. A variety of female deities from Daoist or Buddhist traditions found a place in Chinese village religion, while growing numbers of women found an alternative to family life in Buddhist monasteries. None of this meant an end to patriarchy, but it does suggest some change in the tone and expression of that patriarchy. However, during the Song dynasty that followed, a more restrictive patriarchy reemerged. (See Chapter 8.)

### *Contrasting Patriarchies: Athens and Sparta*

The patriarchies of second-wave civilizations not only fluctuated over time but also varied considerably from place to place. Nowhere is this variation more apparent than in the contrasting cases of Athens and Sparta, two of the leading city-states of Greek civilization (see Map 3.2, page 111). Even within this small area, the opportunities available to women and the restrictions imposed on them differed substantially. Although Athens has been celebrated as a major expression of democracy and rationalism, its posture toward women was far more restrictive than that of the highly militaristic and much less democratic Sparta.

In the several centuries between about 700 and 400 B.C.E., as the free male citizens of Athens moved toward unprecedented participation in political life, the city's women experienced growing limitations. They had no role whatsoever in the Assembly, the councils, or the juries of Athens, which were increasingly the focus of life for free men. In legal matters, women had to be represented by a guardian, and court proceedings did not even refer to them by name, but only as someone's wife or mother.

Greek thinkers, especially Aristotle, provided a set of ideas that justified women's exclusion from public life and their general subordination to men. According to Aristotle, "A woman is, as it were, an infertile male. She is female in fact on account of a kind of inadequacy." That inadequacy lay in her inability to generate

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#### Guided Reading Question

##### COMPARISON

How did the patriarchies of Athens and Sparta differ from each other?





#### A Woman of Athens

This grave stele from about 400 B.C.E. marked the final resting place of Hegeso, a wealthy Athenian woman, shown in the women's quarter of a Greek home examining her jewelry, perhaps for the last time, while attended by her slave. The domestic setting of this grave marker contrasts with that common for men, which usually showed them as warriors in a public space. (National Archeological Museum, Athens, Greece/Marie Mauzy/Art Resource, NY)

#### AP® EXAM TIP

Be absolutely sure you can compare societies across place and time.

to acquire a literate education, while their sisters were normally limited to learning spinning, weaving, and other household tasks. The Greek writer Menander exclaimed: "Teaching a woman to read and write? What a terrible thing to do! Like feeding a vile snake on more poison." Nor did women have much economic power. Although they could own personal property obtained through dowry, gifts, or inheritance, land was usually passed through male heirs. By law, women were forbidden to buy or sell land and could negotiate contracts only if the sum involved was valued at less than a bushel of barley.

There were exceptions, although rare, to the restricted lives of upper-class Athenian women, the most notable of which was Aspasia (ca. 470–400 B.C.E.). She was born in the Greek city of Miletus, on the western coast of Anatolia, to a wealthy family that believed in educating its daughters. As a young woman, Aspasia

sperm, which contained the "form" or the "soul" of a new human being. Her role in the reproductive process was passive, providing a receptacle for the vital male contribution. Compared often to children or domesticated animals, women were associated with instinct and passion and lacked the rationality to take part in public life. "It is the best for all tame animals to be ruled by human beings," wrote Aristotle. "In the same way, the relationship between the male and the female is by nature such that the male is higher, the female lower, that the male rules and the female is ruled."<sup>20</sup>

As in China, elite Athenian women were expected to remain inside the home, except perhaps for religious festivals or funerals. Even within the home, women's space was quite separate from that of men. Although poorer women, courtesans, and prostitutes had to leave their homes to earn money, collect water, or shop, ideal behavior for upper-class women meant assigning these tasks to slaves or to men and involved a radical segregation of male and female space. "What causes women a bad reputation," declared Andromache, a female character in the Greek playwright Euripides' *The Trojan Women*, "is not remaining inside."

Within the domestic realm, Athenian women were generally married in their midteens to men ten to fifteen years older than themselves. Their main function was the management of domestic affairs and the production of sons who would become active citizens. These sons were expected

found her way to Athens, where her foreign birth gave her somewhat more freedom than was normally available to the women of that city. She soon attracted the attention of Pericles, Athens's leading political figure. The two lived together as husband and wife until Pericles' death in 429 B.C.E., although they were not officially married. Treated as an equal partner by Pericles, Aspasia proved to be a learned and witty conversationalist who moved freely in the cultured circles of Athens. Her foreign birth and her apparent influence on Pericles provoked critics to suggest that she was a *hetaera*, a professional, educated, high-class entertainer and sexual companion, similar to a Japanese geisha. Although little is known about Aspasia, a number of major Athenian writers commented about her, both positively and negatively. She was, by all accounts, a rare and remarkable woman in a city that offered little opportunity for individuality or achievement to its female population.

The evolution of Sparta differed in many ways from that of Athens. Early on, Sparta solved the problem of feeding a growing population not by creating overseas colonies as did many Greek city-states, but by conquering its immediate neighbors and reducing them to a status of permanent servitude, not far removed from slavery. Called helots, these dependents far outnumbered the free citizens of Sparta and represented a permanent threat of rebellion. Solving this problem shaped Spartan society decisively. Sparta's answer was a militaristic regime, constantly ready for war to keep the helots in their place. To maintain such a system, all boys were removed from their families at the age of seven to be trained by the state in military camps, where they learned the ways of war. There they remained until the age of thirty. The ideal Spartan male was a warrior, skilled in battle, able to endure hardship, and willing to die for his city. Mothers are said to have told their sons departing for battle to "come back with your shield . . . or on it." Although economic equality for men was the ideal, it was never completely realized in practice. And unlike Athens, political power was exercised primarily by a small group of wealthy men.

This militaristic and far-from-democratic system had implications for women that, strangely enough, offered them greater freedoms and fewer restrictions. As in many warrior societies, their central task was reproduction—bearing warrior sons for Sparta. To strengthen their bodies for childbearing, girls were encouraged to take part in sporting events—running, wrestling, throwing the discus and javelin,



#### A Girl of Sparta

This figurine portrays a young female Spartan athlete or runner. Compare her clothing with that worn by Hegeso in the photo opposite. (The British Museum, London, UK/Bridgeman Images)

even driving chariots. At times, women and men alike competed in the nude before mixed audiences. Their education, like that of boys, was prescribed by the state, which also insisted that newly married women cut their hair short, unlike adult Greek women elsewhere. Thus Spartan women were not secluded or segregated, as were their Athenian counterparts.

Furthermore, Spartan young women, unlike those of Athens, usually married men of their own age, about eighteen years old, thus putting the new couple on a more equal basis. Marriage often began with a trial period to make sure the new couple could produce children, with divorce and remarriage readily available if they could not. Because men were so often away at war or preparing for it, women exercised much more authority in the household than was the case in Athens.

It is little wonder that the freedom of Spartan women appalled other Greeks, who believed that it undermined good order and state authority. Aristotle complained that the more egalitarian inheritance practices of Spartans led to their women controlling some 40 percent of landed estates. In Sparta, he declared, women “live in every sort of intemperance and luxury” and “the [male] rulers are ruled by women.” Plutarch, a Greek writer during the heyday of the Roman Empire, observed critically that “the men of Sparta always obeyed their wives.” The clothing worn by Spartan women to give them greater freedom of movement seemed immodest to other Greeks.

Nonetheless, in another way, Sparta may have been more restrictive than Athens and other Greek city-states, particularly in its apparent prohibition of homosexuality. At least, this was the assertion of the Athenian writer Xenophon (427–355 B.C.E.), who stated that Sparta’s legendary founder Lycurgus “caused lovers to abstain from sexual intercourse with boys.”<sup>21</sup> Elsewhere, however, homoerotic relationships were culturally approved and fairly common for both men and women, although this did not prevent their participants from entering heterosexual marriages as well. The ideal homosexual relationship—between an older man and a young adolescent boy—was viewed as limited in time, for it was supposed to end when the boy’s beard began to grow. Unlike contemporary Western societies where sexuality is largely seen as an identity, ancient Greek society viewed sexual choice more casually and as a matter of taste.

Sparta clearly was a patriarchy, with women serving as breeding machines for its military system and lacking any formal role in public life, but it was a lighter patriarchy than that of Athens. The joint efforts of men and women seemed necessary to maintain a huge class of helots in permanent subjugation. Death in childbirth was considered the equivalent of death in battle, for both contributed to the defense of Sparta, and both were honored alike. In Athens, on the other hand, growing freedom and democracy were associated with the strengthening of the male-dominated, property-owning household, and within that household, the cornerstone of Athenian society, men were expected to exercise authority. Doing so required increasingly severe limitations and restrictions on the lives of women.

Together, the cases of Athens and Sparta illustrate how the historical record appears in a different light when viewed through the lens of gender. Athens, so celebrated for its democracy and philosophical rationalism, offered little to its women, whereas Sparta, often condemned for its militarism and virtual enslavement of the helots, provided a somewhat wider scope for the free women of the city.

## REFLECTIONS

### What Changes? What Persists?

So what is more impressive in human history—the innovations and changes or the enduring patterns and lasting features? At the level of personal history, most people have no doubt noticed the brevity and transience of life, marked as it is by childhood, coming of age, marriage, the birth of children, illness, decline, and death. Every culture has developed rituals to honor these changes. And yet we also recognize some enduring sense of self across the span of a life, some continuity, at least in memory, between the child and the elder.

Beyond our individual histories, however, our perception of change or continuity in the wider arenas of life surely depends on when we are living. During the long Paleolithic and Neolithic eras, few people were aware of major changes in the larger patterns of life. Of course, change happened: nomadic peoples settled down in villages; agriculture developed; cities arose; states and empires took shape; class structures evolved; patriarchy emerged more sharply defined. But few of these changes occurred quickly enough to be noticeable in a single lifetime. It is among the great contributions of world history to call attention to transformations of which we might otherwise be unaware. In the modern era, and certainly in our own time, the pace of change has dramatically accelerated. We have come to value, celebrate, expect, and promote change in ways that many of our distant—and not so distant—ancestors would find unimaginable.

What might we say about the balance of change and persistence in the era of second-wave civilizations? Clearly, there was much that was new, even if those innovations had roots in earlier times. The Greek conquest of the Persian Empire under the leadership of Alexander the Great was both novel and unexpected. The Roman Empire encompassed the entire Mediterranean basin in a single political system for the first time. Buddhism and Christianity emerged as new, distinct, and universal religious traditions, although both bore the marks of their origin in Hindu and Jewish religious thinking, respectively. The collapse of dynasties, empires, and civilizations long thought to be solidly entrenched—the Chinese and Roman, for example—must surely have seemed to people of the time to be something fearfully new.

But much that was created in the second-wave era—particularly its social and cultural patterns—has demonstrated an impressive continuity throughout many

#### AP® EXAM TIP

You must be able to write an essay on continuity and change over time. This discussion is a good introduction to such essays.



centuries, even if it also changed in particular ways over time. China's scholar-gentry class retained its prominence throughout the ups and downs of changing dynasties into the twentieth century. India's caste-based social structure still endures as a way of thinking and behaving for hundreds of millions of men and women on the South Asian peninsula. Although slavery gave way to serfdom in post-Roman Europe, it was widely practiced in the Islamic world and massively extended in Europe's American colonies after 1500. In various expressions, slavery remained an important and largely unquestioned feature of all civilizations until the nineteenth century, and in a few places it still exists. Patriarchy, with its assumptions of male superiority and dominance, has surely been the most fundamental, long-lasting, and taken-for-granted feature of all civilizations. Not until recent centuries have those assumptions effectively been challenged, and even so, patriarchy has continued to shape the lives and the thinking of the vast majority of humankind. And many hundreds of millions of people in the twenty-first century still honor or practice religious and cultural traditions begun during the second-wave era.

Persistence and change alike have long provided the inextricable warp and woof of both individual experience and historical study. Each of us no doubt ponders the tension between them in our own lives. Untangling their elusive relationship has figured prominently in the task of historians and has contributed much to the enduring fascination of historical study.

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## Chapter Review

### What's the Significance?

Wang Mang, 195

China's scholar-gentry class, 195

Ge Hong, 196–97

Yellow Turban Rebellion, 197–98

caste as *varna* and *jati*, 199–202

"ritual purity" in Indian social practice, 202

Greek and Roman slavery, 203–8

Spartacus, 208

patriarchy, 208–17

the "three obediences," 210–11

Empress Wu, 212

Aspasia and Pericles, 214

helots, 215–17

### Big Picture Questions

1. What might an observant Chinese traveler from the Han dynasty era find surprising or offensive in India or the Greco-Roman world? What similarities might he or she notice?
2. Why do you think slavery was so much more prominent in Greco-Roman civilization than in India or China?
3. What philosophical, religious, or cultural ideas served to legitimize the class and gender inequalities of second-wave civilizations?
4. What changes in the patterns of social life in second-wave civilizations can you identify? What accounts for these changes?

5. **Looking Back:** Cultural and social patterns of civilizations seem to endure longer than the political framework of states and empires. What evidence from Chapters 3, 4, and 5 might support this statement? How might you account for this phenomenon? Is there evidence that could support a contrary position?

### Next Steps: For Further Study

Jeannine Auboyer, *Daily Life in Ancient India* (2002). A social history of ancient India, with a focus on caste, ritual, religion, and art.

Sue Blundell, *Women in Ancient Greece* (1999). A well-written academic study, with occasional humorous stories and anecdotes.

Keith Bradley, *Slavery and Society at Rome* (1994). A scholarly but very readable account of slavery in the Roman Empire.

Michael Lowe, *Everyday Life in Early Imperial China* (1968). A vivid description of social life during the Han dynasty.

Merry Weisner-Hanks, *Gender in History* (2001). A thoughtful overview by a leading scholar in both women's history and world history.

"The Indian Caste System: An Introduction," [http://www.youtube.com/watch?v=Oh\\_xvKLhZHg](http://www.youtube.com/watch?v=Oh_xvKLhZHg). A brief BBC documentary on the beginnings of caste in India.

"Women in World History," <http://chnm.gmu.edu/wwh/index.html>. Documents, reviews, and lesson plans for learning and teaching about women's history in a global context.

## WORKING WITH EVIDENCE

## Pompeii as a Window on the Roman World

You could hear the shrieks of women, the wailing of infants, and the shouting of men; some were calling their parents, others their children or their wives, trying to recognize them by their voices. People bewailed their own fate or that of their relatives, and there were some who prayed for death in their terror of dying. Many besought the aid of the gods, but still more imagined there were no gods left, and that the universe was plunged into eternal darkness for evermore.<sup>22</sup>

Written by a prominent Roman known as Pliny the Younger, this eyewitness account details reactions to the volcanic eruption of Mount Vesuvius, located on the southwestern side of the Italian peninsula, on August 24, 79 C.E. That eruption buried the nearby city of Pompeii, located about 150 miles south of Rome, but it also preserved the city, frozen in time, until archeologists began to uncover it in the mid-eighteenth century (see Map 3.4, page 119). Now substantially excavated, Pompeii is an archeological and historical treasure, offering a unique window into the life of a Roman city during the first century C.E.

As this city of perhaps 20,000 people emerged from layers of ash, it stood revealed as a small but prosperous center of commerce and agriculture, serving as a point of entry for goods coming to southern Italy by sea. Pompeii also hosted numerous vineyards, production facilities for wine and olive oil, and a fisheries industry. In addition, the city was a tourist destination for well-to-do Romans. The houses of the wealthy were elegant structures, often built around a central courtyard, and were decorated with lovely murals displaying still-life images, landscapes, and scenes from Greek and Roman mythology. An inscription found on the threshold of one house expressed the entrepreneurial spirit of the town: “Gain is pure joy.”<sup>23</sup>

Laid out in a grid pattern with straight streets, the city’s numerous public facilities included a central bathing/swimming pool, some twenty-five street fountains, various public bathhouses, and a large food market as well as many bars and small restaurants. More than thirty brothels, often featuring explicit erotic art, offered sexual services at relatively inexpensive prices. One inscription, apparently aimed at local tourists, declared: “If anyone is looking for some tender love in this town, keep in mind that here all the girls are very

Museo Archeologico Nazionale, Naples, Italy/De Agostini Picture Library/Bridgeman



Source 5.1 Terentius Neo and His Wife





**Source 5.2** A Pompeii Banquet

friendly.” Graffiti too abounded, much of it clearly sexual. Here are three of the milder examples: “Atimetus got me pregnant”; “Sarra, you are not being very nice, leaving me all alone like this”; and “If anyone does not believe in Venus, they should gaze at my girlfriend.”<sup>24</sup>

The preserved art of Pompeii, especially the wall paintings, provides a glimpse into the social life of that city. Most of that art, of course, catered to and reflected the life of the more prosperous classes. Source 5.1 shows a portrait of Terentius Neo, a prominent businessman and magistrate (an elected public official), and his unnamed wife. He is wearing a toga and holding a papyrus scroll, while she wears a tunic and is holding to her lips a stylus, used for writing on the wax-covered wooden tablet that she carries. Her hair is styled in a fashion popular in the mid-first century.

- What significance do you attribute to the absence in the painting's title of a name for the woman?
- What do you think the artist is trying to convey by highlighting the literacy of this couple?
- What overall impression of these two people and their relationship to each other does this painting suggest?

Terentius Neo and his wife were no doubt served by slaves in their home, as slave owning was common in the Roman world, particularly among the upper classes. In the streets and homes of urban areas, slaves and free people mingled quite openly. Roman slavery was not distinguished by race, and the outward signs of urban slavery were few, especially for those practicing professions. Such a couple no doubt gave and attended banquets similar to the one depicted in Source 5.2, where well-to-do guests reclined on padded couches while slaves served them food and drink. Dancers, acrobats, and singers often provided entertainment at such events, which provided an occasion for elites to impress others with their lavish display of wealth and generosity.

- What signs of social status are evident in this painting?
- How are slaves, shown here in the foreground, portrayed? See also the mosaic of a slave and master on page 205.

The lives of the less exalted appear infrequently in the art of Pompeii, but the images in Source 5.3 provide some entrée into their world. These are frescoes painted on the wall of a *caupona*, an inn or tavern catering to the lower classes. The first image shows Myrtale, a prostitute, kissing a man, while the caption above reads: "I don't want to, with Myrtale." In the second image, a

### Source 5.3 Scenes in a Pompeii Tavern



female barmaid serves two customers with a large jug and a cup, while they compete for her attention. In the third image, two men playing dice are arguing.

- Why do you think a tavern owner might have such paintings in his place of business?
- What might we learn about tavern life from these images?
- What roles did women play in the tavern?
- What differences do you notice between these paintings and those depicting the lives of the upper classes?

The excavated ruins of Pompeii have much to tell us about the religious as well as the social life of the Roman world in the first century C.E., before Christianity had spread widely. Based on ritual observance rather than doctrine or theology, Roman religious practice sought to obtain the favor of the gods as a way of promoting success, prosperity, and good fortune. A core expression of the diverse and eclectic world of Roman religion was the imperial cult. In Pompeii, a number of temples were dedicated to one or another of the deified emperors, employing together a large cadre of priests and priestesses. Linked to the imperial cult were temples devoted to the traditional Greco-Roman gods such as Apollo, Venus, and Jupiter.

Probably more important to ordinary people were their *lararia* (household shrines), often a niche in the wall that housed paintings or sculptures of *lares* (guardian spirits or deities believed to provide protection within the home). Families offered gifts of fruit, cakes, and wine to these spirits, and the *lararia* were the focal point for various sacrifices and rituals associated with birth, marriage, and death. Source 5.4 shows one of these shrines, uncovered in the home of a well-to-do freedman (former slave) named Vetti. Protecting the family from external danger were two *lares*, standing on either side of the *lararium* and holding their drinking horns. In the center was the *genius*, the spirit of the male head of household. Dressed in a toga and offering a sacrifice, this spirit embodied the character of the man, especially his procreative powers, and so guaranteed many children for the household. The snake at the bottom represented still other benevolent guardian spirits of the family in a fashion very different from Christian symbolism of the snake.

- Why might such a shrine and the spirits it accommodated be more meaningful for many people than the state-approved cults?
- What significance might you find in the temple-like shape of the *lararium*?

In addition to the official cults and the veneration of household gods, by the first century C.E. a number of newer traditions, often called “mystery





**Source 5.4** A Domestic Shrine

religions,” were spreading widely in the Roman Empire. Deriving from the eastern realm of the empire and beyond (Greece, Egypt, and Persia, for example), these mystery religions illustrate the kinds of cultural exchange that took place within the empire. They offered an alternative to the official cults, for they were more personal, emotional, and intimate, usually featuring a ritual initiation into sacred mysteries, codes of moral behavior, and the promise of an afterlife. Among the most popular of these mystery cults in Pompeii was that of Isis, an Egyptian goddess who restored her husband/brother, Osiris, to life and was worshipped as a compassionate protector of the downtrodden.

Another mystery cult, this one of Greek origin, was associated with Dionysus, a god of wine, ecstasy, and poetic inspiration, who was especially popular with women. Often associated with drunkenness, trance states, wild dancing, and unrestrained sexuality, the cult of Dionysus encouraged at least





Werner Forman Archive/Bridgeman Images

**Source 5.5** Mystery Religions: The Cult of Dionysus

the temporary abandonment of conventional inhibitions and social restrictions as initiates sought union with Dionysus. A series of wall paintings on a Pompeii building known as the Villa of Mysteries depicts the process of initiation into the cult of Dionysus, perhaps in preparation for marriage.

Source 5.5 shows a particularly dramatic phase of that initiation in which a woman is ritually whipped, while a naked devotee dances ecstatically with a pair of cymbals above her head and a companion holds a rod of phallic symbolism sacred to Dionysus. In any such process of religious initiation, the initiate undergoes a series of trials or purifications in which he or she “dies” symbolically, achieves mystical union with the god, and is “reborn” into the new community of the cult.

- What aspects of the initiation process are visible in this image?
- How might you understand the role of whipping in the initiation process? How would you interpret the relationship of the initiate and the woman on whose lap she is resting her head?
- In what way is sexual union, symbolized by the rod, significant in the initiation?
- Why do you think Roman authorities took action against these mystery religions, even as they did against Christianity?
- What did the mystery cults of Isis or Dionysus provide that neither the state cults nor household gods might offer?

### DOING HISTORY

## Pompeii as a Window on the Roman World

1. **Characterizing Pompeii:** What does the art of Pompeii, as reflected in these sources, tell us about the social and religious life of this small Roman city in the first century C.E.?
2. **Noticing class differences:** What class or social distinctions are apparent in these visual sources?
3. **Identifying gender roles:** What do these sources suggest about the varied lives and social roles of women and men in Pompeii?